

**A Professional Client who can open an account with SBI, DIFC Branch can be any one of the following:**

<b>A</b>	<b>INDIVIDUAL</b>
<input type="checkbox"/>	<p>An individual who :</p> <p><input type="checkbox"/> Has at least USD 1 million in net assets<sup>1</sup>, and has provided the Branch with sufficient proof thereof.</p> <p style="text-align: center;">OR</p> <p><input type="checkbox"/> Is or has been in the previous two (2) years an employee of the Branch or another Authorised Firm.</p> <p style="text-align: center;">AND</p> <p><input type="checkbox"/> Has sufficient financial experience and understanding of financial markets, products or transactions and any associated risks.</p>
<b>B</b>	<b>UNDERTAKING (Proprietorship / Partnership / Corporate)</b>
<input type="checkbox"/>	<p>An Undertaking<sup>2</sup> that has at least USD 1 million in net assets<sup>3</sup>, and has provided the Bank with sufficient proof thereof <u>AND</u> has sufficient financial experience and understanding of financial markets, products or transactions and any associated risks.</p>
<b>C</b>	<b>MARKET COUNTERPARTY</b>
	<p>Either one of the following:</p> <p><input type="checkbox"/> A Collective Investment Fund or a regulated pension fund;</p> <p><input type="checkbox"/> An Authorised Firm, a Regulated Financial Institution or the management company of a regulated pension fund;</p> <p><input type="checkbox"/> A properly constituted government, government agency, central bank or other national monetary authority of any country or jurisdiction;</p> <p><input type="checkbox"/></p>

<sup>1</sup> assets which exclude the primary residence of the Person but may include any assets held directly or indirectly by that Person.

<sup>2</sup> body corporate or body unincorporated, including a legal person, company, partnership, unincorporated association, government or state.

<sup>3</sup> assets which exclude the primary residence of the Person but may include any assets held directly or indirectly by that Person.

	<p>A public authority or state investment body;</p> <p><input type="checkbox"/> A supranational organisation whose members are either countries, central banks or national monetary authorities;</p> <p><input type="checkbox"/> A DFSA Authorised Market Institution, regulated exchange or regulated clearing house;</p> <p><input type="checkbox"/> A Body Corporate whose shares are listed or admitted to trading on any regulated exchange of an IOSCO member country;</p> <p>A Body Corporate which has called up share capital of at least \$10,000,000; or</p> <p><input type="checkbox"/> Any other institutional investor whose main activity is to invest in financial instruments, including an entity dedicated to the securitisation of assets or other financial transactions</p> <p><b>AND,</b></p> <p><input type="checkbox"/> has at least USD 1 million in net assets<sup>4</sup>, and has provided the Bank with sufficient proof thereof.</p>
<b>D</b>	<b>PERSONAL INVESTMENT VEHICLE</b>
<p><input type="checkbox"/></p>	<p>Personal Investment Vehicle<sup>5</sup> that:</p> <p><input type="checkbox"/> Has at least USD 1 million in net assets<sup>6</sup>, and has provided the Branch with written confirmation of this.</p> <p>or</p> <p><input type="checkbox"/> Is established and operated for sole purpose facilitating the management of the investment portfolio of an existing Professional Client.</p> <p><b>AND</b></p> <p><input type="checkbox"/> Has sufficient financial experience and understanding of financial markets, products or transactions and any associated risks</p>

<sup>4</sup> assets which exclude the primary residence of the Person but may include any assets held directly or indirectly by that Person.

<sup>5</sup> A personal investment vehicle may be a Body Corporate, Partnership, trust or foundation.

<sup>6</sup> assets which exclude the primary residence of the Person but may include any assets held directly or indirectly by that Person.