

Ref :

Date :

To,

Dear Sir / Madam,

Option of a Professional client to be treated as Retail client

In pursuance of client relationship form dated _____, executed by you with our firm, you are fulfilling the criteria of a Professional client

2. However, as per Rule 2.3.3(1) of DFSA (COB Module), we inform you of the option available to you to be treated as a Retail Client, which entitles you the higher level of protection available to Retail clients.

3. We therefore request you to advise us in writing, if you want to be classified as retail client, within 7 days of date of this letter, in absence of which we will classify you as Professional client in our record

Yours Faithfully,

For Chief Executive Officer