

FATCA Self-Assessment Questionnaire – Corporate and FI

Foreign Account Tax Compliance Act (FATCA) US Status analysis for Corporate Accounts

Please read the following instructions carefully before filling out this analysis sheet. The

analysis of US Status of Corporate Accounts is arranged in seven sections. Please answer the questions with YES or NO. Continue to the next section every time your answer is NO until you reach the section to which your answer is YES. Then stop, sign the analysis and share the appropriate documents with your relationship manager.

No.	US Status evaluation criteria			If YES, submit
I	Is the entity a Financial Institution or a subsidiary of a Financial Institution? Refer to Note 1 below for details	Ye s	No	Form W-8BEN-E with GIIN
II	Is the entity Non-US? Does it satisfy all the statements below? <ul style="list-style-type: none"> Incorporated outside USA Has no US status indicators(Refer to Note 2 below for details) All controlling persons of the entity are Non-US persons (not US citizens or tax residents) 	Ye s	No	No additional documents required
III	Is the entity a Non-Financial Foreign Entity (NFFE) exempted under FATCA? Details of exemption available under FATCA can be obtained from your Relationship manager if required	Ye s	No	Exempt status certification in Form W-8BEN-E for non US entities or Form W-9 for US entities
IV	Is the entity an “Active” Non-Financial Foreign Entity (NFFE)? If 50% or more of the income of the entity and 50% or more of its assets are used in generating active business income (Refer to Note 3 below for more details)	Ye s	No	Active NFFE certification in Form W-8BEN-E

V	Is the entity a US Owned “Passive” Non-Financial Foreign Entity (NFFE)?	Ye s	No	Form W-8BEN-E from the entity + Form W-9 for each controlling person who is US citizen/US resident
	“Passive” NFFE with one or more person owning 10% of the shares or ownership of the entity is a US citizen/ green card holder or tax resident (Refer to Note 4 below for more details)			
VI	Does the entity have any US Indicia?	Ye s	No	Form W-8BEN-E
	<ul style="list-style-type: none"> • US address • US telephone number • Power or Attorney or signature authority in favor of a person with US address • Standing Instructions to transfer money to an account with US address • “Hold” or “care of” US mail address as the only address` 			
VII	Is the entity a specified US Person?	Ye s	No	Form W-9
	Incorporated in USA and not exempt from FATCA			

Appendix 2: FATCA Self-Assessment Questionnaire – Corporate and FI – Continued...

Declaration

We hereby confirm the information provided above is true, accurate and complete.

We hereby consent for **State Bank of India** or any of its branches, subsidiaries or affiliates (collectively, "the Bank") to share our information with domestic or overseas regulators or tax authorities where necessary to establish our tax liability in any jurisdiction.

Where required by domestic or overseas regulators or tax authorities, we consent and agree that the Bank may withhold, and pay out, from our account(s) such amounts as may be required according to applicable laws, regulations, agreements with regulators or authorities and directives.

We agree and undertake to notify the Bank within 30 calendar days if there is a change in any information which we have provided to the Bank.

Name of Authorized Signatory

Signature _____ Date _____

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Please circle the applicable status and corresponding action based on the results of the analysis above to confirm that appropriate documents have been collected.

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I	Financial Institution	I	Non US Person	II	Exempt NFFE	I	Active NFFE	V	Passive NFFE (US Owned)	V	Non US entity (With Indicia)	VI	Specified US person
	Form W-8BENE with GIIN		No action		Proof of Exemption		Active certification		Form W-9 from all US owners		Form W-8BENE		Form W-9

Documents Verified: <input type="checkbox"/> Yes <input type="checkbox"/> No	Reviewed By: <input type="checkbox"/> Yes <input type="checkbox"/> No
Date:	Date:
Signature of Operations Official	Signature of FATCA Nodal Officer